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PTO/SB/21 (08-00)

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TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

Application Number	09/843,279	
Filing Date	Apr 24, 2001	
First Named Inventor	Mihcak	
Group Art Unit	2134	
Examiner Name	MORSE, GREGORY ALLAN	
Attorney Docket Number	MS1-792US	

	ENCLOSURES (check all that apply)				
Fee Transmittal Form	Assignment Papers After Allowance Communication to Group				
Fee Attached	Drawing(s) Sheets Appeal Communication to Board of Appeals and Interferences				
Amendment / Reply	Licensing-related Papers Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)				
After Final	Petition Proprietary Information				
Affidavits/declaration(s)	Petition to Convert to a Provisional Application Status Letter				
Extension of Time Request	Power of Attorney, Revocation Change of Correspondence Address Other Enclosure(s) (please identify below):				
Express Abandonment Request	Terminal Disclaimer PTO Form 1449; 26 cited references; return postcard Request for Refund				
Information Disclosure Statement	CD, Number of CD(s)				
Certified Copy of Priority Document(s)	Remarks				
Response to Missing Parts/ Incomplete Application					
Response to Missing Parts					
under 37 CFR 1.52 or 1.53					
SIGNA	TURE OF APPLICANT, ATTORNEY, OR AGENT				
Firm or Individual name Kasey C. Christ	e, Reg. No. 40,559				
Signature					
Date 9-27-04					
	CERTIFICATE OF MAILING				

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as firs an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on this date:

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PTO/SB/17 (10-03)
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Effective 10/01/2003. Patent fees are subject to annual revision.

Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT

SUBMITTED BY

Name (Print/Type)

Signature

C. Christie

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Complete if Known				
Application Number	09/843,279			
Filing Date	Apr 24, 2001	-		
First Named Inventor	Mihcak			
Examiner Name	MORSE, GREGORY ALLAN			
Art Unit	2134			
Attorney Docket No.	MS1-792US			

(Complete (if applicable))

Telephone (509) 324-9256

METHOD OF PAYMENT (check all that apply)		FEE CALCULATION (continued)
Check Credit card Money Other None	3. ADDIT	IONAL FEES
Order Order	Large Entity	Small Entity
Denosit	Fee Fee Code (\$)	Fee Fee Fee Description Code (\$) Fee Paid
Account Number	1051 130	2051 65 Surcharge - late filing fee or oath
Deposit Account Lee & Hayes, PLLC	1052 50	2052 25 Surcharge - late provisional filing fee or cover sheet
Name	1053 130	1053 130 Non-English specification
Charge fee(s) indicated below Credit any overpayments	1812 2,520	1812 2,520 For filing a request for ex parte reexamination
Charge any additional fee(s) or any underpayment of fee(s)	1804 920	* 1804 920* Requesting publication of SIR prior to
Charge fee(s) indicated below, except for the filing fee	4005 4 040	Examiner action 1805 1.840* Requesting publication of SIR after
to the above-identified deposit account.	1805 1,840°	Examiner action
FEE CALCULATION	1251 110	2251 55 Extension for reply within first month
1. BASIC FILING FEE	1252 420	2252 210 Extension for reply within second month
Large Entity Small Entity	1253 950	2253 475 Extension for reply within third month
Fee Fee Fee Fee Pee Paid Fee Paid Code (\$) Code (\$)	1254 1,480	2254 740 Extension for reply within fourth month
1001 770 2001 385 Utility filing fee	1255 2,010	2255 1,005 Extension for reply within fifth month
1002 340 2002 170 Design filing fee	1401 330	2401 165 Notice of Appeal
1003 530 2003 265 Plant filing fee	1402 330	2402 165 Filing a brief in support of an appeal
1004 770 2004 385 Reissue filing fee	1403 · 290	2403 145 Request for oral hearing
1005 160 2005 80 Provisional filing fee	1451 1,510	1451 1,510 Petition to institute a public use proceeding
SUBTOTAL (1) (\$) 0.00	1452 110	2452 55 Petition to revive - unavoidable
	1453 1,330	2453 665 Petition to revive - unintentional
2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE	1501 1,330	2501 665 Utility issue fee (or reissue)
Extra Claims below Fee Paid	1502 480	2502 240 Design issue fee
Total Claims20** = X =	1503 640	2503 320 Plant issue fee
Claims - 3** =	1460 130	1460 130 Petitions to the Commissioner
Multiple Dependent =	1807 50	1807 50 Processing fee under 37 CFR 1.17(q)
Large Entity Small Entity Fee Fee Fee Fee Fee Description	1806 180	1806 180 Submission of Information Disclosure Stmt
Code (\$) Code (\$)	8021 40	8021 40 Recording each patent assignment per property (times number of properties)
1202 18 2202 9 Claims in excess of 20	1809 770	2809 385 Filing a submission after final rejection
1201 86 2201 43 Independent claims in excess of 3 1203 290 2203 145 Multiple dependent claim, if not paid		(37 CFR 1.129(a))
	1810 770	2810 385 For each additional invention to be examined (37 CFR 1.129(b))
1204 86 2204 43 ** Reissue independent claims over original patent	1801 770	2801 385 Request for Continued Examination (RCE)
1205 18 2205 9 ** Reissue claims in excess of 20 and over original patent	1802 900	1802 900 Request for expedited examination of a design application
SUBTOTAL (2) (\$) 0.00	Other fee (s	pecify)
30Β101AL (2)	*Reduced by	y Basic Filing Fee Paid SUBTOTAL (3) (\$) 0.00
**or number previously paid, if greater, For Reicsues, see above	Neduced by	SUBTOTAL (3) (\$) 0.00

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This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Registration No.

40,559



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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Serial No.	
Filing Date	
Confirmation No.	
Inventorship	Mihcak
Applicant	
Group Art Unit	
Examiner	
Attorney's Docket No	MS1-792US
Title: Derivation And Quantization Of Robust No	n-Local Characteristics For Blind
Watermarking	

INFORMATION DISCLOSURE STATEMENT

References -- See Attached Form PTO-1449

REMARKS

The citations listed, copies attached, are submitted in compliance with the duty of disclosure defined in 37 CFR §1.56. The Examiner is requested to make these citations of official record in this application.

Date: 9-27-04

Respectfully Submitted,

Kasey C. Christie Reg. No. 40,559

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OCT 0 1 2004

Substitute for form 1449A/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet 1 of 3

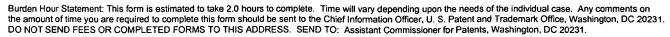
Complete if Known					
Application Number	09/843,279				
Filing Date	Apr 24, 2001				
First Named Inventor	Mihcak				
Group Art Unit	2134				
Examiner Name	MORSE, GREGORY ALLAN				
Attorney Docket Number	MS1-792US				

	U.S. PATENT DOCUMENTS							
Examiner Initials	Cite No.1	U.S. Patent Documer Kind Co	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear			
		5687236	Moskowitz et al.	11/11/1997				
		6314192	Chen et al.	11/06/2001				
		5875264	Carlstrom	02/23/1999				
		5351310	Califano et al.	09/27/1994				
		6321232	Syeda-Mahmood	11/20/2001				
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Examiner Initials*	Cite No.1			t nd Code ⁵ f <i>known</i>)	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁸
-		EP 058131	17	1	Interactive Home Systems	02/02/1994		
		WO 01/11	890		Macrovision Corporation	02/15/2001	٠.	
		WO 01/28	230	1	Koniklijke Philips Electronics	04/19/2001		
		WO 02/37	331		Microsoft Corporation	05/10/2002		
		WO 99/17	537		Hewlett-Packard Company	04/08/1999		
		WO 99/18	723			04/15/1999		
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¹ Unique citation designation number. ² See attached Kinds of U.S. Patent Documents. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.





^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet 2 of 3

Complete if Known		
Application Number	09/843,279	
Filing Date	Apr 24, 2001	
First Named Inventor	Mihcak	
Group Art Unit	2134	
Examiner Name	MORSE, GREGORY ALLAN	
Attorney Docket Number	MS1-792US	

NON PATENT LITERATURE DOCUMENTS				
Examiner Initials	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.			
		Mihcak et al., "Watermarking via Optimization Algorithms for Quantizing Randomized Statistics of Image Regions" Proceedings of the Annual Allerton Conference on Communication Control and Computing Urbana IL 2002 10 pages.		
		Moulin et al., "The Parallel-Gaussian Watermarking Game" IEEE Transactions Information Theory Feb. 2004 pages 1-36.		
		Venkatesan et al.,"Robust Image Hashing" Proceedings of the IEEE-ICIP Vancouver BC Canada 2000 3 pages.		
		Chang et al., "RIME: A Replicated Image Detector for the World-Wide Web" Proceedings of the SPIE Vol. 3527 2-4 November 1998 pages 58-67.		
		Mihcak et al., "New Iterative Geometric Methods for Robust Perceptual Image Hashing" Proceedings of the Security and Privacy Digital Rights Management Workshop 2001 9 pages.		
		Kesal et al.,"Iteratively Decodable Codes for Watermarking Applications" Proceedings of the Second Symposium on Turbo Codes and Their Applications France September 2000 4 pages.		
		Venkatesan et al.,"Image Watermarking with Better Reşilience" Proceedings of IEEE-ICIP Vancouver BC Canada 2000 4 pages.		
		Mihcak et al., "Cryptanalysis of Discrete-Sequence Spread Spectrum Watermarks" Proceedings of the Information Hiding Workshop Holland 2002 21 pages.		
		Mihcak et al., "A Perceptual Audio Hashing Algorithm: A Tool For Robust Audio Identification and Information Hiding" Proceedings of the Information Hiding Workshop 2001 15 pages.		
		Moulin et al.,"A Framework for Evaluating the Data-Hiding Capacity of Image Sources" IEEE Transactions on Image Processing Vol. 11 No. 9 September 2002 pages 1-14.		
		Wu et al.,"Video Access Control Via Multi-level Data Hiding' Proc. IEEE Int. Conf. on Multimedia and Expo Vol. I Jul/Aug 2000 pp 381-384.	-	

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Signature	Considered	

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¹ Unique citation designation number. ² Applicant is to place a check mark here if English language Translation is attached.

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Sheet 3 of 3

Complete if Known			
Application Number	09/843,279		
Filing Date	Apr 24, 2001		
First Named Inventor	Mihcak		
Group Art Unit	2134		
Examiner Name	MORSE, GREGORY ALLAN		
Attorney Docket Number	MS1-792US		

	T	NON PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T²
		Fridrich et al., "Robust Hash Functions for Digital Watermarking" Proc. Int. Conf. on Information Technology: Coding and Computing March 2000 pp 178-183.	
		Lee et al.,"Adaptive Video Watermarking Using Motion Information" Proc SPIE Vol. 3971: Security and Watermarking of Multimedia Contents II Jan 2000 pp. 209-216.	
-		Echizen et al.,"General Quality Maintenance Module for Motion Picture Watermarking" IEEE Trans. on Consumer Electronics Vol. 45 No. 4. Nov 1999 pp1150-1158.	
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